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10/713,866

Application Number

PANEN

TRANSMITTAL		Filing Date	November 14, 2003						
FORM		First Named Inventor	Oleg Siniaguii	ne					
(to be used for all correspondence after	nitial filing)	Art Unit	1639	1639					
		Examiner Name			· · · · · · · · · · · · · · · · · · ·				
Total Number of Pages in This Submission	n 10	Attorney Docket Number	VAI 330						
	ENC	CLOSURES (Check all that	t apply)		···				
Fee Transmittal Form  Fee Attached  Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Reques  Information Disclosure Stateme		Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Addr Terminal Disclaimer  Request for Refund  CD, Number of CD(s)	ess to to Ap of Ap	Technol peal Co Appeals peal Co opeal No oprietary atus Lett her Enclentify be	osure(s) (please				
Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts/ under 37 CFR 1.52 or 1									
SIG	NATURE	OF APPLICANT, ATTORN	EY, OR AGEN	Γ					
Firm or Individual name Kolisch Hartwell, Signature  Date April 5, 2004  I hereby certify that this correspondence sufficient postage as first class mail in a	CERTIFI	CATE OF TRANSMISSION simile transmitted to the USPTO or ddressed to: Commissioner for Pat	deposited with the	United	States Postal Service with andria, VA 22313-1450 on				
Typed or printed name Renee Kr									
	e Cm	ali		Date	April 5, 2004				

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



In re Application of:

OLEG SINIAGUINE, MÎCHAEL A. ZAROWITZ,

Date: April 5, 2004

and ILYA RAVKIN

Serial No.

10/713,866

Group Art Unit 1639

Filed

November 14, 2003

For

PARTICLES WITH LIGHT-POLARIZING CODES

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56, 1.97, and 1.98

Applicants are submitting this Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98 to disclose to the U.S. Patent and Trademark Office the patents, publications, applications, and/or other references listed on the enclosed, completed PTO-1449 form(s). The filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or as an admission that the listed references are prior art for this application. Applicants respectfully request that the listed references be expressly considered during prosecution of the application, and that the references be made of record therein and appear among the "references cited" on any patents issuing therefrom.

## CONTENT OF DISCLOSURE

This Information Disclosure Statement includes seven pages of PTO-1449 forms; however, it does <u>not</u> include copies of the references listed on the form(s), as permitted under 37 C.F.R. § 1.98(d), because copies already were provided in an earlier U.S. application (Serial No. 10/120,900, filed April 10, 2002) that is relied on here for an earlier effective filing date under 35 U.S.C. § 120.

## TIMING OF DISCLOSURE / FEE INFORMATION

This Information Disclosure Statement is being filed, to the best of the undersigned's knowledge, either (1) before the mailing of a first Office action on the merits, or (2) before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. § 1.114. Therefore, in accordance with 37 C.F.R. § 1.97(b), no fee or statement under 37 C.F.R. § 1.97(e) is required.

Please contact the undersigned with any questions or comments regarding this Information Disclosure Statement.

## **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on April 5, 2004.

Renee Knight

Respectfully submitted,

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Attorney for Assignee

FORM PTO-1	TRANSPAR			DOCKET NUMBER			APPLICATION NUMBER							
ند د د			V	VAI 330				10/713,866						
	ORMATION DISCLATION IN AN APPL		APPLICANTS Oleg Siniaguine et al.							•				
<u> </u>			1	FILING DATE November 14, 2003				GROUP ART UNIT 1639						
		U.S. PA	TENT	DOCUMENTS	S									
EXAMINER INITIAL	DOCUMENT NUMBER	DATE		NAME		CLA	ss	SUB CLASS		FIL. DATE IF APPROP.				
	3,772,099	11/13/73	Ryai	n et al.										
	3,897,284	7/29/75	Live	say										
	3,964,294	6/22/76	Shai	r et al.										
·	4,053,433	10/11/77	Lee											
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		FOREIGN	PATE	NT DOCUMEN	NTS									
****	DOCUMENT NUMBER	DATE		COUNTRY	С	LASS	SU		TR. YES	ANSLATION	NC			
<b>*</b>	WO 96/36436	11/21/96	WIP	0			-		XX					
X	WO 97/12680	4/10/97	WIP	0					XX					
×	WO 97/20074	6/5/97	WIP	0					XX					
	WO 97/35201	9/25/97	WIP	0					XX					
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Х	Generation and S Acad. Sci. USA, V	Screening of an Yol. 90, pp 10700	Oligoni -10704,	ucleotide-Encoded November 1993.	Synt	hetic	Pepti	de L	ibrary	, Proc. 1	Nat			
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FORM PTO-1	44 TRANSAM	DOCKET NUMBE VAI 330	DOCKET NUMBER VAI 330			APPLICATION NUMBER 10/713,866						
INFORMATION DISCLOSURE CITATION IN AN APPLICATION			APPLICANTS Oleg Siniagui	APPLICANTS Oleg Siniaguine et al.								
			FILING DATE November 14,	2003	GROUP ART UNIT 1639							
		U.S. PA	ATENT DOCUME	NTS								
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME		CLAS			FIL. DATE F APPROP.				
	4,652,395	3/24/87	Marcina et al.									
	5,143,854	9/1/92	Pirrung et al.	•	· · · · · ·							
	5,202,265	4/13/93	LaMora									
	5,409,839	4/25/95	Balestrieri									
	5,451,505	9/19/95	Dollinger									
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	5,741,462	4/22/99	Nova et al.									
		FOREIGN	PATENT DOCUM	/ENTS								
	DOCUMENT NUMBER	DATE	COUNTRY	CI	ASS	SUB CLASS	TRAN YES	ISLATION NC				
*	WO 98/46550	10/22/98	WIPO				XX					
×	WO 98/53093	11/26/98	WIPO				XX					
X	WO 99/19515	4/22/99	WIPO				XX					
Y	WO 99/22018	5/6/99	WIPO				XX					
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Y	Nanowires Forme April 1994.	d in Anodic Oxid	de Nanotemplates, J. M	ater. Res.,	Vol. 9	, No. 4,	pp. 1014	-1018,				
_ X	Membrane-Based	Synthesis of Nar	nomaterials, Chem. Ma	ter., Vol. 8	3, No.	8, pp. 1	739-1746,	1996.				
XAMINER			DATE CONSIDER	ED								

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	ÓRMATION DISCL ATION IN AN APPL	APPLICANTS Oleg Siniaguine et al.											
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	5,744,305	4/28/98	Fodor et al.										
	5,751,629	5/12/98	Nova et al.										
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<u> </u>	5,817,751	10/6/98	Szardenings et al.										
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	5,874,214	2/23/99	Nova et al.										
<u></u>	5,874,724	2/23/99	Cato										
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	DOCUMENT NUMBER	DATE	COUNTRY		CLASS	SUB CLASS	YES	RANSLAT	ION NC				
. 🗡	WO 99/36564	7/22/99	WIPO				XX	ζ					
, ×	WO 99/37814	7/29/99	WIPO	- 00			XX	ζ .					
<b>Y</b>	WO 99/41006	8/19/99	WIPO				XX	ζ .					
۷.	WO 99/67641	12/29/99	WIPO			,	XX	ζ					
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	Symposium BB No. 9, November 30-D	onlithographic M December 2, 1998	ethods for Organizing M 3.	[aterial	s into	Function	al Stru	ctures, j	pp. 1-				
X	Orthogonal Self-A 1021-1025, 1999.	ssembly on Colle	oidal Gold-Platinum Nar	iorods,	Adv.	Mater., V	ol. 11,	No. 12	, pp.				
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	ORMATION DISCLATION IN AN APPL		APPLICANTS Oleg Siniaguine et al.								
		FILING DATE November 14, 20	FILING DATE November 14, 2003				GROUP ART UNIT 1639				
		U.S. PA	ATENT DOCUMENTS	S	-						
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	5,925,562	7/20/99	Nova et al.								
	5,961,923	10/5/99	Nova et al.					,			
0	5,981,166	11/9/99	Mandecki								
Sec	5,981,180	11/9/99	Chandler et al.					.,			
	5,989,835	11/23/99	Dunlay et al.	Dunlay et al.							
	5,990,479	11/23/99	Weiss et al.								
	6,017,496	1/25/00	Nova et al.								
· · · · · · · · · · · · · · · · · · ·	6,018,299	1/25/00	Eberhardt								
	6,025,129	2/15/00	Nova et al.								
<u> </u>	6,025,200	2/15/00	Kaish et al.								
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X	WO 00/00145	1/6/00	WIPO				X	x	<u></u>		
Υ	WO 00/22435	4/20/00	WIPO				X	X			
Υ	WO 00/32542	6/8/00	WIPO				X	x			
Χ	WO 00/33079	6/8/00	WIPO				X	X			
		ОТН	IER DOCUMENTS		_						
Y	Symposium C Ani. November 27-29,	sotropic Nanopa 2000.	rticles Synthesis, Charac	teriza	ition, d	and Appl	icatio	ns, pp. 5	 4-69,		
Χ	Rational Design of al., Biomacromole	of Cytophilic and ecules, Vol. 4, N	l Cytophobic Polyelectrolyt o. 1, pp. 96-106, 2003.	te Mu	ltilaye	r Thin F	ilms, N	/lendels	ohn e		
EXAMINER			DATE CONSIDERED								

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	6,046,003	4/4/00	Ma	ndecki										
	6,051,377	4/18/00	Ma	ndecki										
	6,083,693	7/4/00	Nai	ndabalan et al.										
<u>.</u>	6,083,763	7/4/00	Bal	ch	Fe									
	6,093,370	7/25/00	Yas	suda et al.	,									
.4	6,100,973	8/8/00	Lav	wandy										
	6,100,026	8/8/00	No	va et al.										
	6,104,038	8/15/00	Go	nzalez et al.										
	6,114,038	9/5/00	Cas	stro et al.										
·	6,136,274	10/24/00	No	va et al.										
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Χ.	WO 01/25002	4/12/01	WI	PO					Х	ΚX				
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<i></i>	6,083,763	7/4/00	Balo	ch									
	6,210,910	4/3/01	Wal	t et al.									
	6,214,560	4/10/01	Ygu	erabide et al.				<u> </u>					
	6,238,869	5/29/01	Kris	et al.									
	6,251,691	6/26/01	Seul	l									
	6,274,323	8/14/01	Bru	Bruchez et al.									
	6,296,189	10/2/01	Law	andy et al.									
	6,306,975	10/23/01	Zha	o et al.									
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X	WO 01/61040	8/23/01	WIF	PO				_	X	X			
	WO 01/77391	10/18/01	WIF	PO					X	X	· ·		
X	WO 01/78288	10/18/01	WIP	Ю					X	X			
X	WO 01/89585	11/29/01	WIP	Ю					X	X			
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